

MAR 12 2002

Please type a plus sign (+) inside this box ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/937,201
Filing Date	September 24, 2001
First Named Inventor	Greg GuangHua Qiao
Group Art Unit	
Examiner Name	
Attorney Docket Number	322-00063

Total Number of Pages In This Submission **11****ENCLOSURES** (check all that apply)

- ☒ Fee Transmittal Form
☒ Fee Attached
☐ Amendment / Reply
☐ After final
☐ Affidavits/declarations(s)
☒ Extension of Time Request
☐ Express Abandonment Request
☐ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☒ Response to Missing Parts/ Incomplete Application
☐ Response to Missing Parts Under 37.152 or 1.53

- ☐ Assignment Papers (for an Application)
☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert a Provisional Application
☐ Power of Attorney, Revocation, Change of Correspondence Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s)

- ☐ After Allowance Communication To Group
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter

☒ Other Enclosure(s) (please identify below):**Return Receipt Postcard Declaration**

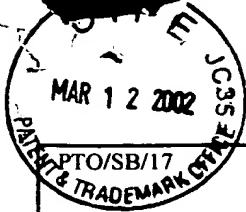
Remarks

COPY OF PAPERS
ORIGINALLY FILED**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	Thomas M. Wozny, 28,922 Andrus, Sceales, Starke & Sawall, LLP 100 East Wisconsin Avenue, Suite 1100 Milwaukee, WI 53202-4178		RECEIVED MAR 25 2002 TC 1700
Signature	<i>Thomas M. Wozny</i>		
Date	February 28, 2002		

CERTIFICATE OF MAILINGI hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **February 28, 2002**

Typed or printed name	Dorothy A. Hauser		
Signature	<i>Dorothy A. Hauser</i>	Date	February 28, 2002



FEE TRANSMITTAL

COMPLETE IF KNOWN

Application Number	09/937,201
Filing Date	September 24, 2001
First Named Inventor	Greg GuangHua Qiao
Group Art Unit	
Examiner Name	
Attorney Docket Number	322-00063

Total Amount of Payment (\$)**265.00**

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

01.2000

Deposit Account Name

ANDRUS, SCEALES, STARKE & SAWALL, LLP

- ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17
- ☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)

2. ☒ Payment Enclosed:



Check



Money Order



Other

FEE CALCULATION (fees effective 10/01/96)

1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. CLAIMS

Total claims	Fee From below	Fee Paid
-	X	
Independent Claims	X	
Multiple Dependent Claims	X	

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim	
109 84	209 42	Reissue independent claims over original patent	
110 18	210 9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	\$65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for examination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for response within first month	
116 400	216 200	Extension for response within second month	
117 920	217 460	Extension for response within third month	
118 1,440	218 720	Extension for response within fourth month	
128 1,960	228 980	Extension for response within fifth month	
119 300	218 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive unavoidably abandoned application	
141 1,240	241 620	Petition to revive unintentionally abandoned application	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclos. Stmt.	
591 40	591 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR 1.29(a))	
149 710	249 355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$265.00

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name

Thomas M. Wozny

Registration Number

28,922

Signature

Date

2-28-2002

Deposit Account User ID

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TC 1700



JCO7 Rec'd PCT/PTO 11 MAR 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5
04/18/02
AS

In re the Application of:

Greg GuangHua Qiao et al

Application No. 09/937,201

Filed: September 24, 2001

IMPROVED CARBON-CONTAINING
MATERIALS

CERTIFICATE OF MAILING

) I hereby certify that this correspondence is
) being deposited with the United States Postal
) Service with sufficient postage as first class
) mail in an envelope addressed to:
) Commissioner of Patents, Washington, D.C.
) 20231, on this 28th day of February, 2002.

) *Dorothy A. Hauser* February 28, 2002
) Dorothy A. Hauser Date

TRANSMISSION OF EXECUTED DECLARATION

Attention: Box Missing Parts - Fee
Commissioner for Patents,
Washington, D.C. 20231

Sir:

Responsive to the "Notice to File Missing Parts", mailed November 14, 2001, copy attached, enclosed for filing in the above application is the executed Declaration.

A Petition for a two month extension of time is herein requested.

A check in the amount of \$265.00 is attached comprising the surcharge in the amount of \$65.00 and a two month extension fee of \$200.00.

The Commissioner is hereby authorized to charge any additional fees in accordance with 37 CFR 1.16(e) to Deposit Account No. 01.2000. A duplicate copy of this sheet is enclosed.

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MAR 25 2002
TC 1700

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ORIGINALLY FILED

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

By

Thomas M. Wozny

Thomas M. Wozny
Reg. No. 28,922

Andrus, Sceales, Starke & Sawall, LLP
100 East Wisconsin Avenue, Suite 1100
Milwaukee, WI 53202
(414) 271-7590
Attorney Docket No.: 322-00063

03/18/2002 MAIL111 00000061 09937201

01 FD:254
02 FD:216

65.00 OP
200.00 OP

PCT



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

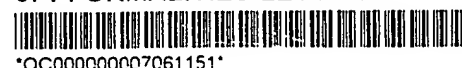
U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATTY. DOCKET NO
09/937,201	Greg Guanghua Qiao	322-00063

INTERNATIONAL APPLICATION NO
PCT/AU00/00236

I.A. FILING DATE	PRIORITY DATE
03/23/2000	03/23/1999

Thomas M. Wozny
 Andrus Scales Starke & Sawall
 100 East Wisconsin Avenue
 Suite 110
 Milwaukee, WI 53202

CONFIRMATION NO. 6384
 371 FORMALITIES LETTER



OC000000007061151

Date Mailed: 11/14/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

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TC 1700

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FREDERICK SMITH

Telephone: (703) 305-3654

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY DOCKET NO.
09/937.201	PCT/AU00/00236	322-00063